FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK ATTORNEY'S DOCKET NO. NL 021115 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED U.S. Application No. (if known, see 37 CFR 1.5) OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2003/004241 September 22, 2003 October 30 2002 TITLE OF INVENTION TRELLIS-BASED RECEIVER APPLICANT(S) FOR DO/EO/US Andries Pieter HEKSTRA; Constant Paul Maria Jozef BAGGEN Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FiRST submission of items concerning a filing under 35 U.S.C. 371. 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. [] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. [X] copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. [] b. [X] is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the international Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. [] A translation of the international Application into English (35 U.S.C. 371(c)(2)) 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the international Bureau). a. [] have been transmitted by the International Bureau. b. [] c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. 8. [] A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10.[] A translation of the annexes to the international Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. [X] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. [X] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. [X] A FIRST preliminary amendment. A SECOND OR SUBSEQUENT preliminary amendment. 14. [] A substitute specification. 15. [] A change of power of attorney and/or address letter. 16. [X] Other items or information: Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/80] Statement under 37 CFR 3.73(b) [PTO/SB/98] Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account **CERTIFICATE OF MAILING** [X] Express Mail Mailing Label No. EV 664 852360-45

Date of Deposit 4-37-05

I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O.

Box 1450, Alexandria, VA 22313-1450.

Jeanne Rusciano
Typed Name

Signature

.

U.S. APPUCATION/NO III TOWN, See 3 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT //B2003/004241			ATTORNEY'S DOCKET NUMBER NL 021115		
17 [X] The following	fees are submitted:			CALCULATIONS (PT	O USE ONLY)
BASIC NATIONAL FEI	E (37 C.F.R. 1.492(A)(1)-(5)):			
Search Rep	oort has been prepared b	y the EPO or JPO	\$1000.00		
International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00					
No International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2) \$750.00					
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00					
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	10 - 20 =	0	X \$ 50.00	\$ 0.00	
ndependent claims	4 - 3 =	1	X \$ 200.00	\$ 200.00	
MULTIPLE DEPENDE	NT CLAIMS (if		+ \$ 360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 200.00	
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$	
SUBTOTAL =				\$ 1200.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1200.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1240.00	
				Amount to be Refunded	\$
				Charged	\$
b. [X] Please cha	the amount \$ rge my Deposit Account copy of this sheet is en	No. <u>14-1270</u> In the am	ve fees is enclosed.	the above fees.	
c. [X] The Comm required, o	issioner Is hereby autho r credit any overpaymen	rized to charge any add t to Deposit Account No	itlonal fee, with the excep o. <u>14-1270</u> . A duplicate c	tion of the Base Issue F opy of this sheet is enc	ee, which may be losed.
NOTE: Where an app filed and granted to re	ropriate time limit under store the application to	37 C.F.R. 1.494 or 1.495 pending status.	has not been met, a petit	ion to revive (37 C.F.R.	1.137(a) or (b)) must be
SEND ALL CORRESP		1	(SIGNATURE) Aaron Waxler	nh	<u></u>